

# The centralizer of a nilpotent section

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## Overview

- 1 Nilpotent elements over fields.
  - Recollections
  - Main results
- 2 Nilpotent sections over schemes
  - Equidimensional nilpotent sections
  - Levi factors and Bala-Carter data
  - Component groups

## Good characteristic

- For a field  $K$ , consider  $K$ -groups of the form

$$H_1 = H \times T;$$

$T$  a  $K$ -torus,  $H$  semisimple s.t.  $p = \text{char } K$  is *very good* for  $H$ .

- The reductive  $G$  is  $T$ -standard if it is separably isogenous to  $C_{H_1}(S)$  for some  $H_1$  and some torus  $S \subset H_1$
- examples:
  - any form of  $GL_n$  is  $T$ -standard.
  - $SL_n$  is  $T$ -standard  $\iff n \not\equiv 0 \pmod{p}$ .
- S'pose  $G$  is  $T$ -standard and let  $\mathfrak{g} = \text{Lie}(G)$ .

### Lemma

For  $X \in \mathfrak{g}(K)$ , the  $K$ -group scheme  $C_G(X)$  is smooth over  $K$ .

In down-to-earth terms: the orbit of  $X$  is *separable*.

## Richardson orbits

### Theorem

(Richardson) For a parabolic subgroup  $P$ , there is  $X \in \text{Lie } R_u P(K)$  such that the orbit  $\text{Ad}(P)X$  is dense in  $\text{Lie } R_u P$ .

- call any such  $X$  a *Richardson element* for  $P$
- nilpotent  $X \in \mathfrak{g}$  is *distinguished* if a max torus of  $C_G(X)$  is central in  $G$ .
- A parabolic  $P$  with  $\mathfrak{u} = \text{Lie}(R_u P)$  is *distinguished* if  $\dim P/R_u = \dim \mathfrak{u}/[\mathfrak{u}, \mathfrak{u}]$ .
- A Richardson element for  $P$  is dist.  $\iff P$  is dist.

## Cocharacters

Let  $X \in \mathfrak{g}(K)$ , choose a max torus  $S \subset C_G(X)$ , and let  $L = C_G(S)$ . Then  $X \in \text{Lie}(L)$  is dist. for  $L$ .

### Theorem

(Premet, M.) There is a unique  $K$ -homomorphism  $\phi : \mathbf{G}_m \rightarrow G$  s.t.

- (i) the image of  $\phi$  lies in  $(L, L)$ ,
- (ii)  $\text{Ad}(\phi(t))X = t^2 X$  for  $t \in K_{\text{sep}}^\times$ ,

The parabolic subgrp  $P = P(X)$  with  $\text{Lie}(P) = \sum_{i \geq 0} \mathfrak{g}(\phi; i)$  doesn't depend on choice of  $S$ .

### Remarks:

- $\mathfrak{g}(\phi, i) = \{Y \in \mathfrak{g} \mid \text{Ad}(\phi(t))Y = t^i Y \quad t \in K_{\text{sep}}^\times\}$ .
- The cocharacter  $\phi$  is said to be associated with  $X$ .
- Existence uses results of Kempf and of Rousseau in GIT
- $P$  is called the *instability parabolic* for  $X$

## Bala-Carter

Assume that  $K$  is separably closed. Consider pairs

$$(L, Q) \text{ with } \begin{cases} L: \text{Levi factor of a parab. of } G, \\ Q: \text{dist. parab. of } L. \end{cases}$$

### Theorem

(Bala-Carter) Assoc'ing to  $(L, Q)$  the orbit  $\text{Ad}(G)X$  of a Richardson element  $X$  for  $Q$  gives a bijection

$$\{(L, Q)\} / G \xrightarrow{\sim} \{\text{Ad}(G)\text{-orbits of nilpotent elts}\}.$$

### Remarks:

- Call  $(L, Q)$  the *Bala-Carter datum* of a representative  $X$  for the corresponding orbit.

## Formulation of Main Theorems

- Let  $F_1, F_2$  be separably closed fields where  $\text{char } F_1 = 0$  and  $\text{char } F_2 = p > 0$ .
- Let  $G_{F_i}$  be a  $T$ -standard reductive group over  $F_i$  for  $i = 1, 2$ .
- Assume  $G_{F_1}$  and  $G_{F_2}$  have the same root data.
- Let  $X_i \in \mathfrak{g}_{F_i}$  be nilpotent with the same Bala-Carter data, and write  $C_i = C_{G_{F_i}}(X_i)$  for  $i = 1, 2$ .

### Theorem

The groups  $C_1$  and  $C_2$  have Levi factors with the same root data.

### Theorem

The component groups  $C_1/C_1^\circ$  and  $C_2/C_2^\circ$  are isomorphic.

## Reductive gp schemes and equidimensional sections

- $\mathcal{A}$ : normal, Noetherian, local domain (e.g. a DVR), with fractions  $K = k(\eta)$  and residues  $k = k(s)$ .
- $G$ :  $T$ -standard reductive group scheme over  $\mathcal{A}$
- Then  $G_t = G \times_{\mathcal{A}} k(t)$  is  $T$ -standard  $/k(t) \quad \forall t \in \text{Spec}(\mathcal{A})$ .
- a section  $X \in \mathfrak{g}(\mathcal{A})$  is nilpotent if  $X(\eta) \in \mathfrak{g}(k(\eta))$  is nilp.

### ■ Lemma

For  $X \in \mathfrak{g}(\mathcal{A})$  TFAE:

- 1  $\dim C_G(X)_t$  is constant for  $t \in \text{Spec}(\mathcal{A})$
- 2  $\dim C_G(X)_\eta = \dim C_G(X)_s \quad (\eta = \text{generic}, s = \text{closed})$
- 3  $C_G(X)$  is smooth over  $\mathcal{A}$ .

Proof depends on smoothness of all  $C_G(X)_t = C_{G_t}(X(t))$

- $X$  is called *equidimensional* if it satisfies (1) of Lemma

## The instability parabolic over $\mathcal{A}$

- A smooth  $\mathcal{A}$ -subgroup scheme  $P \subset G$  is *parabolic* if  $P_t$  is a parabolic subgroup of  $G_t \forall t \in \text{Spec}(\mathcal{A})$ .

Suppose  $X \in \mathfrak{g}(\mathcal{A})$  is an equidimensional nilpotent section.

### Proposition

There is a unique  $\mathcal{A}$ -parabolic subgroup scheme  $P \subset G$  such that  $P_\eta$  is the instability parabolic for  $X(\eta)$ .

- the scheme of parab's of  $G$  is projective over  $\mathcal{A}$ . If  $\mathcal{A}$  is a DVR, prop. is "for free". In gen'l, normality of  $\mathcal{A}$  is req'd.
- to see the potential trouble, consider:  $\mathcal{A} = k[x, y]_{(x, y)}$ ,  $G = \text{SL}_{2/\mathcal{A}}$ . Then  $(x : y) \in \mathbf{P}_{\mathcal{A}}^1(K)$  does not determine an  $\mathcal{A}$ -section of  $\mathbf{P}_{\mathcal{A}}^1$ .

## Associated cocharacters over $\mathcal{A}$

$X \in \mathfrak{g}(\mathcal{A})$  equidim'l nilp;  $P \subset G$  an  $\mathcal{A}$ -parabolic s.t.  $P_\eta$  is the instab. parabolic for  $X(\eta)$ .

### Proposition

$P_t$  is the instab parab for  $X(t)$  for each  $t \in \text{Spec}(\mathcal{A})$ .

### Proposition

$\exists$  finite, local, étale extension  $\mathcal{A} \subset \mathcal{B}$  and a  $\mathcal{B}$ -homomorphism  $\phi : \mathbf{G}_m \rightarrow P_{/\mathcal{B}}$  s.t.  $\phi_t$  is assoc to  $X(t) \forall t \in \text{Spec}(\mathcal{B})$ .

- the proofs depends on the following:  
if  $T$  is an  $\mathcal{A}$ -torus and  $\phi_0 : (\mathbf{G}_m)_\eta \rightarrow T_\eta$  a  $k(\eta)$  morphism, normality of  $\mathcal{A} \implies \exists!$   $\mathcal{A}$ -morphism  $\phi : \mathbf{G}_m \rightarrow T$  s.t.  $\phi_\eta = \phi_0$ .

## Levi factors and Bala-Carter data

$X \in \mathfrak{g}(\mathcal{A})$  equidim'l nilp.  $C = C_G(X)$  centralizer subgroup scheme.

### Corollary

- 1 *Locally in the étale topology,  $C$  has a Levi factor  $L \subset C$ ; thus  $L_{\bar{t}}$  is a Levi factor of  $C_{\bar{t}} \forall t \in \text{Spec}(\mathcal{A})$ .*
  - 2 *The root datum of  $L_{\bar{t}}$  is constant for  $t \in \text{Spec}(\mathcal{A})$ .*
  - 3 *Locally in the étale topology,  $\exists$  Levi subgroup scheme  $M \subset G$  and dist. parab. subgroup scheme  $Q \subset M$  s.t.  $(M_t, Q_t)$  is the Bala-Carter datum of  $X(t) \forall t \in \text{Spec}(\mathcal{A})$ .*
- first “Main Theorem” of a few slides back now follows once we know existence of enough equidimensional sections.

## Richardson sections

- Suppose that  $G$  is split reductive over  $\mathcal{A}$ .
- (this is anyhow true locally in the étale topology.)
- given a Bala-Carter datum on some fiber  $G_t$ , it is realized [up to  $G(k(\bar{t}))$ -conjugacy] as  $(L_t, Q_t)$  for a subgroup scheme  $L$  which is a Levi factor of a parab of  $G$ , and  $Q \subset L$  a dist. parab
- Assume  $k = k(s)$  is infinite. Using a lift of a Richardson element in  $\text{Lie } R_u(Q)(k)$  one proves:

### Theorem

$\exists$  equidimensional nilpotent section  $X \in (\text{Lie } R_u(Q))(\mathcal{A})$  s.t. Bala-Carter datum of  $X(t)$  is  $(L_t, Q_t)$ .

- first “Main Theorem” follows.

## Component groups: adjoint case

- Suppose that the  $T$ -standard  $\mathcal{A}$ -group scheme  $G$  is semisimple and *adjoint*, that  $X \in \text{Lie}(G)(\mathcal{A})$  is equidimensional nilpotent, and put  $C = C_G(X)$ .

**Theorem (Mizuno, Alekseevskii, Premet, M-Sommers)**

*The groups  $C_t/C_t^o$  are all isomorphic, for  $t \in \text{Spec}(\mathcal{A})$ .*

- theorem implies that the sheaf  $C/C^o$  on (the étale topology of)  $\text{Spec}(\mathcal{A})$  is repr. by finite étale  $\mathcal{A}$ -group scheme.

## Component groups: extension to the general case

To prove the second “Main Theorem” for any  $T$ -standard group, need to consider *for example* the following:

- Let  $f : G \rightarrow G_1$  be an étale central isogeny of  $\mathcal{A}$ -group schemes,
- let  $X_1 = df(X)$  for  $X \in \text{Lie}(G)(\mathcal{A})$ ,  $X_1 \in \text{Lie}(G_1)(\mathcal{A})$ .
- $X$  is nilp., resp. equidim'l  $\iff X_1$  is nilp., resp. equidim'l
- in equidim'l case, locally in the étale topology, there are Levi factors  $L$  of  $C_G(X)$  and  $L_1$  of  $C_{G_1}(X)$  such that  $f$  restricts to étale central isogeny  $L \rightarrow L_1$
- Conclude:  $C/C^o \simeq L/L^o$  is constant on  $\text{Spec}(\mathcal{A})$  iff  $C_1/C_1^o \simeq L_1/L_1^o$  is constant.

## Examples

- e.g.  $\mathcal{A} = \mathbf{Z}(p)$ ,  $p \neq 2$ 
  - $Q_\eta = (-1, -1)$  “usual” Quaternion  $K(\eta) = \mathbf{Q}$ -algebra;  
 basis  $1, i, j, k$
  - $Q \subset Q_\eta$  the  $\mathcal{A}$ -subalg with  $\mathcal{A}$ -basis  $1, i, j, k$  (Azumaya  $\mathcal{A}$ -alg)
  - $Q_s \simeq \text{Mat}_2(\mathbf{F}_p)$ ;  $Q_\eta$  is division
- $G = \text{GL}_{2, Q}$ , an  $\mathcal{A}$ -form of  $\text{GL}_4$ .
- $X = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \in \mathfrak{gl}_{2, Q}(\mathcal{A})$  is equidimensional, nilp.
- the partition of  $X(t)$  is  $(2, 2)$  for  $t = s, \eta$ .
- $C_G(X)$  has  $\mathcal{A}$ -Levi factor  $L$  with  $\mathcal{A}$ -points  
 $\left\{ \begin{pmatrix} a & 0 \\ 0 & a \end{pmatrix} \mid a \in \mathbf{Q}^\times \right\}$ . Then  $L \simeq \text{GL}_{1, Q}$
- $L_s = \text{GL}_{2/\mathbf{F}_p}$  while  $L_\eta$  is a non-split  $\mathbf{Q}$ -form of  $\text{GL}_2$ .